

2/31

2891

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))	Docket No. C5
---	------------------

In Re Application Of: EDWARDS. ROGER	FEB 28 2002 PATENT & TRADEMARK OFFICE
--	---

Serial No. 09/744,772	Filing Date 1/25/2001	Examiner	Group Art Unit 2800
--------------------------	--------------------------	----------	------------------------

Title: COPY PROTECTION OF DIGITAL AUDIO COMPACT DISC

RECEIVED
MAR 07 2002
Technology Center 2100

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

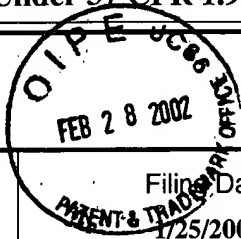
- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

RECEIVED
MAR -6 2002
TECHNOLOGY CENTER 2800

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
C5

In Re Application Of:
EDWARDS. ROGER



Serial No.
09/744,772

Filing Date
1/25/2001

Examiner

Group Art Unit
2800

Title: **COPY PROTECTION OF DIGITAL AUDIO COMPACT DISC**

RECEIVED
MAR 07 2002
Technology Center 2100

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 13-0762 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

on _____
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on 2/15/02 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

A KILLINGSWORTH

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.

Frank Thol Nguyen
Signature

Dated: 02/06/02

FRANK NGUYEN
REG. 39790

CC:

RECEIVED
MAR -6 2002
TECHNOLOGY CENTER 2100